

# LAWSON

LIMITS NEWTON

GRAVITATIONAL RADIUS

DENSITY

SUN !

SUN !

TWO TYPES BLACK HOLE (HAWKING)

COMPACTNES - MEASUREMENTS

MINKOWSKI  $\rightarrow$  GENERAL RELATIVITY

COVARIANT DERIVATIVE

COMMUTATOR, RIEMANN, RICCI,

VACUUM EINSTEIN FIELD EQUATIONS

SCHWARZSCHILD

SYMMETRIAS, KILLINGS

$(\eta\eta) = g_{tt}$

COMMUTATORS

MOTION

GEODESIC MOTION

CONSERVATION ENERGY, ANG. MOM.

SPECIFIC

COORDINATE  $l = -u_\varphi / u_t$  ETC

EFFECTIVE POTENTIAL NEWTON

EPICYCLIC NEWTON  $\leftarrow$  WHEELER'S PRINC.

EFFECTIVE, EPICYCLIC EINSTEIN

OR SCHWARZSCHILD

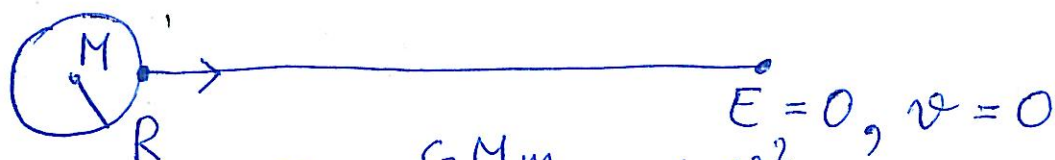
30: THE MOST IMPORTANT ITEM.

October 1 (1)

# LAWSON \* \* \* First Lecture

Thursday, October 1, Mathematics, Charles University

Limits of applicability of Newton's theory:  $v < c$ ,  $v =$  escape velocity.



$$E = -\frac{GMm}{r} + \frac{1}{2} \boxed{v^2} m$$

$$v^2 = \frac{2GM}{r} ; \quad \frac{v^2}{c^2} = \left( \frac{2GM}{c^2} \right) \frac{1}{R}$$

$$\boxed{\frac{R_G}{R} \ll 1}$$

compactness

$\uparrow \equiv R_G$   
gravitational radius  
(Schwarzschild)

Density of an object with compactness  $\approx 1$

$$\rho_* \approx \frac{M}{R_G^3} = \frac{Mc^6}{8G^3M^3}$$

$$\approx \left( \frac{\boxed{c^6}}{8G^3M_\odot^2} \right) \left( \frac{M_\odot}{M} \right)^2$$

$$\boxed{\rho_* \approx 7 \times 10^{16} \left[ \frac{g}{cm^3} \right] \left( \frac{M_\odot}{M} \right)^2}$$

VERTE !

$$\frac{c^6}{8G^3 M_\odot^2} = \frac{M_\odot}{(R_\odot^*)^3} = \frac{10^{33} \text{ g}}{3^3 \cdot (10^5)^3 \text{ cm}^3} =$$

$$= \frac{10^{33}}{27 \cdot 10^{15}} = \frac{1}{2.7} 10^{17} \approx \underbrace{4 \times 10^6}_{\frac{33}{16} \overline{17}}$$

$$R_\odot^* \approx 3 \text{ km}$$

$$M_\odot \approx 10^{33} \text{ g}$$



## LAWSON

$$\rho_* = \begin{cases} 10^{15} \text{ g/cm}^3 & \text{for } M \sim 10 M_\odot \\ 10^0 \text{ g/cm}^3 & \text{for } M \sim 10^8 M_\odot \end{cases}$$

"stellar mass" compact objects (collapse) ← Oppenheimer, Chandrasekhar, Zwicky, Landau,  
 "super-massive" compact objects (collection) ← Laplace, Mitchell

THESE ARE

Black holes

 have been "found"  
 by astronomers

 The only reasoning: compactness

NOTE

 One needs to measure mass and size

Mass "easy" (Kepler)

 Size tricky (e.g. variability,  
 spectral fitting, direct  
 images

The contribution of Prague group

NOTE

October 1 (3)

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# Einstein's General Relativity: Theory of Gravity

$$c = 1 = G \quad \leftarrow \text{BE AWARE!}$$

Special Relativity, Minkowski spacetime

$ds$  connects  $(t, x, y, z)$  to  $(t+dt, x+dx, y+dy, z+dz)$

$$ds^2 = dt^2 - dx^2 - dy^2 - dz^2$$

↑ note minus  
"signature" + - - -  
in many textbooks  
+ - - -

Flat Space, Cartesian coordinates

$$dl^2 = dx^2 + dy^2 + dz^2$$

Spherical coordinates

$$dl^2 = dr^2 + r^2(d\theta^2 + \sin^2\theta d\varphi^2)$$

$$\left. \begin{aligned} x &= r \sin\theta \sin\varphi \\ y &= r \sin\theta \cos\varphi \\ z &= r \cos\theta \end{aligned} \right\}$$

October 1 (4)

# LAWSON

Curved space, curved spacetimes:

$$ds^2 = g_{ik} dx^i dx^k$$

$Q$  scalars

$Q^i$  vectors

$Q^{ik}$  tensors

$\nabla_i$  (covariant) derivative

$$\nabla_i Q \equiv \partial_i Q \equiv \frac{\partial Q}{\partial x^i}$$

$$\nabla_i Q^k = \partial_i Q^k + \Gamma_{ij}^k Q^j$$

$$\nabla_i Q_k = \partial_i Q_k - \Gamma_{ik}^j Q_j$$

$$\Gamma_{ik}^j = \frac{1}{2} g^{js} \left( \frac{\partial g_{is}}{\partial x^k} + \frac{\partial g_{ks}}{\partial x^i} - \frac{\partial g_{ik}}{\partial x^s} \right)$$

↑ Christoffel symbol

$$\boxed{\nabla_i g_{jk} = 0} \quad \text{metric is covariantly constant!}$$



Commutator

$$\partial_i \partial_k \varphi - \partial_k \partial_i \varphi = 0$$

$$\nabla_i \nabla_k \varphi^j - \nabla_k \nabla_i \varphi^j = R_{ik}^j \varphi^s \neq 0$$

↑ Riemann tensor

Riemann tensor is built from  $g_{ik}$  and its derivatives, up to second derivatives

$$R_{ik} = R_{ijk}^j \quad \text{Ricci tensor}$$

$$\boxed{R_{ik} = 0}$$

← Einstein's field equations for the vacuum case.

$R_{ik} = 0$  Valid for all black holes

Spacetime fixed: black hole astrophysics

Schwarzschild spacetime:

$$\begin{aligned}
 ds^2 &= g_{ik} dx^i dx^k = \\
 &= \left(1 - \frac{R_g}{r}\right) dt^2 - \left(1 - \frac{R_g}{r}\right)^{-1} dr^2 \\
 &\quad - r^2 \left[ d\theta^2 + \sin^2 \theta d\varphi^2 \right]
 \end{aligned}$$

Note:  $\partial_t g_{ik} = 0 = \partial_\varphi g_{ik}$

stationary  $\partial_t = 0$

axially symmetric  $\partial_\varphi = 0$

All black holes have these symmetries.

Killing vectors

$$\partial_t = 0$$

$$\partial_\varphi = 0$$

$$\nabla_i \eta_K + \nabla_K \eta_i = 0;$$

$$\nabla_i \xi_K + \nabla_K \xi_i = 0;$$

$$\eta^K = \delta_t^K$$

$$\xi^K = \delta_\varphi^K$$



October 1 (7)

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### Several useful relations

$$(\eta\eta) = (\eta^i \eta_i) = \eta^i \eta^k g_{ik} = \delta_t^i \delta_t^k g_{ik} = g_{tt}$$

$$(\eta\xi) = (\eta^i \xi_i) = g_{t\varphi} = 0 \quad (\text{in Schwarzschild})$$

$$(\xi\xi) = (\xi^i \xi_i) = g_{\varphi\varphi}$$

$$\boxed{\eta^i \nabla_i \xi_k = \xi^i \nabla_i \eta_k} \leftarrow \text{valid in Schwarzschild}$$

$$\eta^i \nabla_i \xi_k = -\frac{1}{2} \nabla_k (\xi\eta)$$

Proof:

$$\begin{aligned} \nabla_k (\eta^i \xi_i) &= \eta^i \nabla_k \xi_i + \xi_i \nabla_k \eta^i = \\ &= \eta^i \nabla_k \xi_i + \xi^i \nabla_k \eta_i = -\eta^i \nabla_i \xi_k - \xi^i \nabla_i \eta_k \\ &= -\eta^i \nabla_i \xi_k - \eta^i \nabla_i \xi_k = \underline{-2\eta^i \nabla_i \xi_k} \quad \text{qed.} \end{aligned}$$

October 1 (8)

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## Motion of particles

$$x^i = x^i(s)$$

spacetime trajectory

$$u^i = \frac{dx^i}{ds}$$

Four-spacetime-velocity

$$(uu) = u^i u^k g_{ik} = \frac{dx^i}{ds} \frac{dx^k}{ds} g_{ik} = \frac{ds^2}{ds^2} = 1$$

Four velocity  $(uu) = 1$   
is a unit timelike vector

Free geodesic motion

$$a_k = u^i \nabla_i u_k = 0$$

↑  
acceleration

$$a_k u^k = 0 \quad \text{proof:}$$

$$a_k u^k = u^k u^i \nabla_i u_k = u^i (u^k \nabla_i u_k) = 0$$

geod.

October 1 (9)

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# Energy & angular momentum conservation

$\boxed{E \equiv u^i \eta_i}$  is conserved along the geodesic motion (Energy)

$$u^K \nabla_K (u^i \eta_i) = \underbrace{u^i u^K}_{\substack{\uparrow \\ \text{symmetric}}} \underbrace{\nabla_K \eta_i}_{\substack{\uparrow \\ \text{antisymmetric}}} + \eta_i \underbrace{u^K \nabla_K u^i}_{\substack{\nearrow \\ \text{geodesic}}}$$

$\underbrace{\hspace{10em}}_{\substack{\parallel \\ 0}}$ 
 $\parallel$   
 $0$

$\parallel$   
 $0$   
ged.

$$\boxed{u^K \nabla_K E = 0}$$

For the same reason:

$$u^K \nabla_K L = 0 \quad L \equiv - u^i \xi_i$$

and

$$l = \frac{L}{E} \text{ specific angular momentum}$$



Note:  $E = u^i \eta_i = \eta^i u_i \stackrel{*}{=} \delta_t^i u_i = u_t$

$-L = u^i \xi_i = u_\varphi$

$$\left. \begin{aligned} E &= u_t \\ L &= -u_\varphi \\ \frac{L}{E} &= -\frac{u_\varphi}{u_t} = l \end{aligned} \right\} \begin{array}{l} \text{useful} \\ \text{coordinate} \\ \text{expressions} \end{array}$$

Motion of particles in the potential

$\Phi = -\frac{GM}{r}$  in Newton's theory

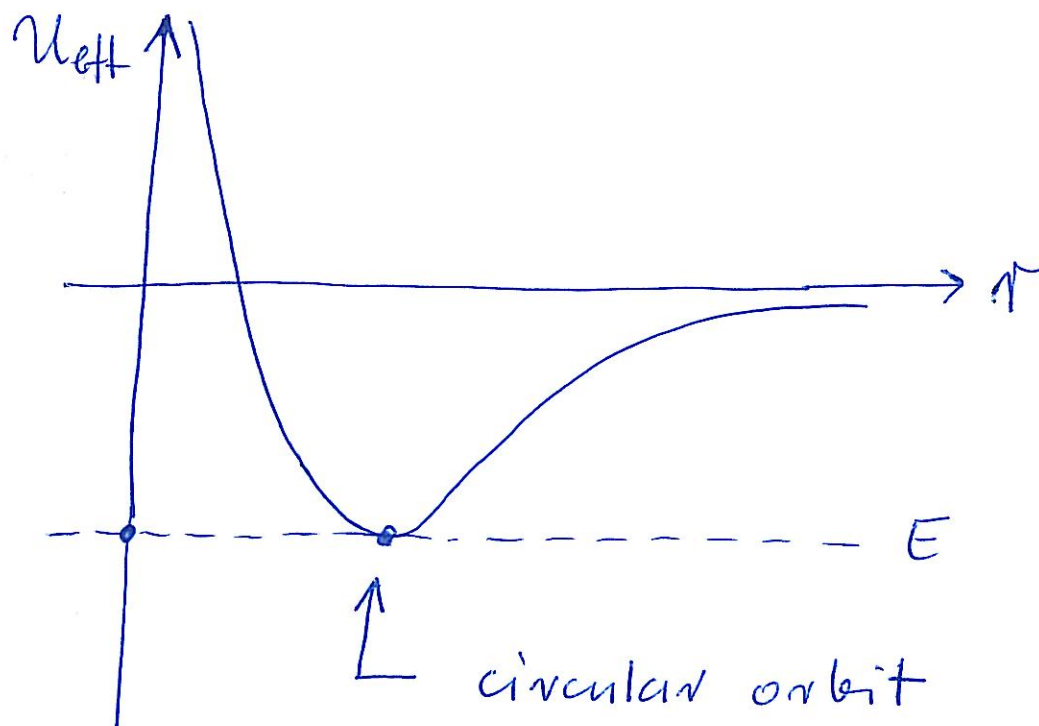
$$\begin{aligned} E &= \Phi + \frac{1}{2} v_r^2 + \frac{1}{2} v_\varphi^2 \\ &= \Phi + \frac{1}{2} v_r^2 + \frac{1}{2} \frac{L^2}{r^2} \end{aligned}$$

$$L = v_\varphi r$$

$$\frac{1}{2} v_r^2 = E - \left( \Phi + \frac{1}{2} \frac{L^2}{r^2} \right)$$

$\left( \Phi + \frac{1}{2} \frac{L^2}{r^2} \right) = U_{\text{eff}}$  effective potential

$$\frac{1}{2} v_r^2 = E - U_{eff}$$



$$E = U_{eff}$$

$$\left( \frac{\partial U_{eff}}{\partial r} \right)_L = 0$$

Slightly non-circular

~~$$U_{eff} = E$$~~

$$\frac{1}{2}(\delta \dot{r})^2 = \delta E - \delta U_{\text{eff}}$$

$$\delta U_{\text{eff}} = \frac{1}{2} \left( \frac{\partial^2 U_{\text{eff}}}{\partial r^2} \right)_L \delta r^2$$

$$\frac{1}{2}(\delta \dot{r})^2 = \delta E - \frac{1}{2} \left( \frac{\partial^2 U_{\text{eff}}}{\partial r^2} \right)_L \delta r^2$$

↑

take  $\frac{d}{dt}$  of this:

$$(\delta \dot{r})(\delta \ddot{r}) = \delta \dot{E} - \left( \frac{\partial^2 U_{\text{eff}}}{\partial r^2} \right)_L (\delta r)(\delta \dot{r})$$

↑

$L = 0$  (as energy conserved  
at perturbed  
orbit)

$$\delta \ddot{r} \neq 0$$

$$\delta \ddot{r} + \left( \frac{\partial^2 U_{\text{eff}}}{\partial r^2} \right)_L \delta r = 0$$

$$\left( \frac{\partial^2 U_{\text{eff}}}{\partial r^2} \right)_L \equiv \omega_r^2$$

↑  
epicyclic frequency



For the  $\Phi = -\frac{GM}{r}$  potential:

$$L^2 = L_K^2 \equiv GMr \quad (\text{Keplerian})$$

$$\Omega_K^2 = \frac{GM}{r^3}$$

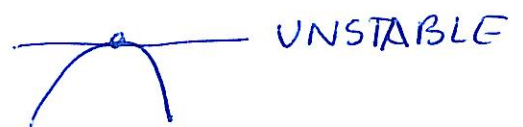
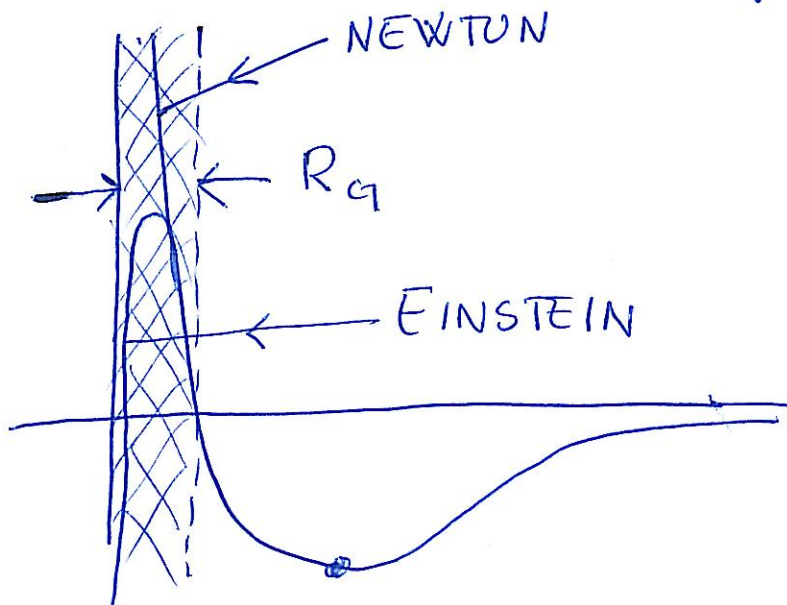
$$\omega_r^2 = \Omega_K^2$$

closed, stable  
orbits

$$\omega_r^2 > 0 \Rightarrow \text{stability}$$

Circular orbits (and slightly  
non-circular) around black holes

1st Wheeler's moral principle



$$u^i = \frac{dx^i}{ds}$$

$$u^t, u^\varphi, u^r$$

$$u^i = \delta^i_t u^t + \delta^i_\varphi u^\varphi + \underbrace{\delta^i_r u^r}_{=v^i}$$

$$u^i = u^t \eta^i + u^\varphi \xi^i + v^i$$

$$= u^t \left( \eta^i + \frac{u^\varphi}{u^t} \xi^i + \frac{v^i}{u^t} \right)$$

$$\frac{u^\varphi}{u^t} = \frac{d\varphi}{ds} / \frac{dt}{ds} = \frac{d\varphi}{dt} = \Omega$$

angular velocity

$$u^i = u^t (\eta^i + \Omega \xi^i + \tilde{v}^i)$$

$$\tilde{v}^i = 0 \quad \text{strictly circular}$$

$$v \ll 1 \quad \text{slightly non-circular}$$

$$l = - \frac{\Omega(\xi\xi) + (\eta\xi)}{\Omega(\eta\xi) + (\eta\eta)} = - \frac{\Omega g_{\varphi\varphi} + g_{t\varphi}}{\Omega g_{t\varphi} + g_{tt}}$$

October 1 (15)

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$$u_i u_k g^{ik} = 1$$

$$(u_t)^2 g^{tt} + 2(u_t u_\varphi) g^{t\varphi} + (u_\varphi)^2 g^{\varphi\varphi} + (u_r)^2 g^{rr} = 1$$

$$\left[ 1 - (u_r)^2 g^{rr} \right] = (u_t)^2 \left[ g^{tt} + 2 \frac{u_\varphi}{u_t} g^{t\varphi} + \left( \frac{u_\varphi}{u_t} \right)^2 g^{\varphi\varphi} \right]$$

$$- (u_r)^2 g^{rr} = V^2 \ll 1$$

a positive (!) quantity

$$(1 + V^2) = E^2 \left[ g^{tt} - 2l g^{t\varphi} + l^2 g^{\varphi\varphi} \right]$$

$$\ln(1 + V^2) = \ln E^2 + \ln \left[ g^{tt} - 2l g^{t\varphi} + l^2 g^{\varphi\varphi} \right]$$

$$\frac{1}{2} V^2 = \ln E + \frac{1}{2} \ln \left[ g^{tt} - 2l g^{t\varphi} + l^2 g^{\varphi\varphi} \right]$$



October 1 (16)

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$$\ln E = \mathcal{E}$$

$$\frac{1}{2} \ln [g^{tt} - 2\ell g^{t\varphi} + \ell^2 g^{\varphi\varphi}] = -\mathcal{U}_{\text{eff}}$$

$$\boxed{\frac{1}{2} V^2 = \mathcal{E} - \mathcal{U}_{\text{eff}}(r, \ell)}$$

The same equation as in  
Newton's theory!

The same equations have the  
same solutions.

Therefore:

- ① Circular orbits  $\left(\frac{\partial \mathcal{U}_{\text{eff}}}{\partial r}\right)_{\ell} = 0$
- ② Radial epicyclic frequency  $\left(\frac{\partial^2 \mathcal{U}_{\text{eff}}}{\partial r^2}\right)_{\ell} = \omega_r^2$

October 1 (17)

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For the Schwarzschild geometry:

$$\mathcal{U}_{\text{eff}} = -\frac{1}{2} \left[ g^{tt} - 2l g^{t\varphi} + l^2 g^{\varphi\varphi} \right]$$

$$g^{tt} = \left( 1 - \frac{R_g}{r} \right)^{-1}$$

$$g^{t\varphi} = 0$$

$$g^{\varphi\varphi} = -\frac{1}{r^2}$$

$$\mathcal{U}_{\text{eff}} = -\frac{1}{2} \left[ \left( 1 - \frac{R_g}{r} \right)^{-1} - l^2 \frac{1}{r^2} \right]$$

$$= -\frac{1}{2} \left[ 1 + \frac{R_g}{r} - \frac{l^2}{r^2} \right]$$

$$= -\frac{1}{2} - \frac{GM}{r} + \frac{l^2}{2r^2}$$

↑  
const.

Newtonian

OK! ▽

October 1 (18)

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$$\frac{\partial U_{\text{eff}}}{\partial r} = 0$$

$$\frac{\partial}{\partial r} \left( 1 - \frac{R_g}{r} \right)^{-1} = \frac{\partial}{\partial r} \left( \frac{l^2}{r^2} \right)$$

$$- \left( 1 - \frac{R_g}{r} \right)^{-2} \frac{R_g}{r^2} = - \frac{2l^2}{r^3}$$

$$l^2 = \frac{1}{2} R_g r \left( 1 - \frac{R_g}{r} \right)^{-2}$$

$$l^2 = \boxed{\frac{GM}{c^2}} GM r \left( 1 - \frac{R_g}{r} \right)^{-2} = GM r g_{tt}^{-2}$$

$$l = - \frac{\Omega g_{\phi\phi}}{g_{tt}}$$

$$l^2 = \frac{\Omega^2 g_{\phi\phi}^2}{g_{tt}^2}$$

$$\boxed{\frac{\Omega^2 g_{\phi\phi}^2}{g_{tt}^2} = \frac{GM}{r^3}}$$

$$\Omega^2 = \frac{1}{g_{\phi\phi}^2} l^2 g_{tt}^2 = \frac{1}{r^4} (l^2 g_{tt}^2) = \frac{GM}{r^3}$$



October 1 (19)

$$\Omega^2 = \frac{GM}{r^3}$$

$$\omega^2 = \left( \frac{\partial^2 U_{\text{eff}}}{\partial r^2} \right)_l = \Omega^2 \left( 1 - \frac{r_{\text{ms}}}{r} \right)$$

$$r_{\text{ms}} = 3 R_g$$

$$\omega^2 < 0$$

$$r \leq r_{\text{ms}}$$

The marginally stable orbit

THE SINGLE MOST IMPORTANT FACT  
FOR THE BLACK HOLE ACCRETION  
DISK THEORY

